BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

SANDRA ANNE FARLEY A.K.A. SANDRA ANNE DE SIMONE A.K.A. SANDRA ANNE DE SIMONE-SMITH P.O. Box 1690 Penn Valley, California 95946

Registered Nurse License No. 575651

Respondent.

Case No. 2007-116

OAH No. 2007020326

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board, as its Decision in this matter.

This Decision shall become effective on JANUARY 7, 2008

It is so ORDERED December 6, 2007.

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FOR THE BOARD OF REGISTERED NURSING

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1	EDMUND G. BROWN JR., Attorney General of the State of California		
2	ARTHUR D. TAGGART		
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4	il comotina Department of Justice		
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6	Telephone, (710) 324-3341		
7	Facsimile: (916) 327-8643 E-mail: Geoffrey.Allen@doj.ca.gov		
8	Attorneys for Complainant		
9	DEFORE THE		
10	DETARTMENT OF CONSUMER AFFAIRS		
11	STATE OF CAL	LIFORNIA	
12	In the Matter of the Accusation Against:	Case No. 2007-116	
13	SANDRA ANNE FARLEY A.K.A. SANDRA ANNE DE SIMONE A.K.A.	OAH No. 2007020326	
14	SANDRA ANNE DE SIMONE-SMITH P.O. Box 1690	STIPULATED SETTLEMENT AND	
15	Penn Valley, California 95946	DISCIPLINARY ORDER	
16	Registered Nurse License No. 575651		
17	Respondent.		
18			
19	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the		
20	above-entitled proceedings that the following matters are true:		
21	<u>PARTIES</u>		
22	1. Ruth Ann Terry, M.P.H, R.N (Complainant) is the Executive Officer of		
23	the Board of Registered Nursing (Board). Complainant brought this action solely in her official		
24	capacity and is represented in this matter by Edmund G. Brown Jr., Attorney General of the State		
25	of California, by Geoffrey S. Allen, Deputy Attorney General.		
26	2. Respondent, Sandra Anne Farley (Respondent), is represented in this		
27	proceeding by attorney Edgardo Gonzalez, whose address is 1300 Clay Street, Suite 600,		
28	Oakland, California 94612.	·	
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3. On or about January 5, 2001, the Board issued Registered Nurse License Number 575651 (License) to Respondent. The license will expire on September 30, 2008, unless renewed.

JURISDICTION

4. Accusation No. 2007-116 (Accusation) was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on November 7, 2006. Respondent timely filed her Notice of Defense contesting the Accusation. A copy of the Accusation is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, discussed with counsel, and fully understands the charges and allegations in the Accusation. Respondent has also carefully read, discussed with counsel, and fully understands the effects of this Stipulated Settlement and Disciplinary Order.
- Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent admits the truth of each and every charge and allegation in the Accusation.
- 9. Respondent agrees that her License is subject to discipline and to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

OTHER MATTERS

10. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.

DISCIPLINARY ORDER

In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

IT IS HEREBY ORDERED that the License issued to Respondent is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. Obey All Laws. Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's

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compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's license shall be fully restored.

- 3. Report in Person. Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when she resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of residency or practice outside the state, and within 30 days prior to re-establishing residency or returning to practice in this state.

Respondent shall provide a list of all states and territories where she has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status during the term of probation. Respondent shall inform the Board if she applies for or obtains a new nursing license during the term of probation.

5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which she has a registered nurse license.

6. Function as a Registered Nurse. Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation shall apply.

7. Employment Approval and Reporting Requirements. Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to her employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after she obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after she is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. Supervision. Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.
- (c) Minimum The individual providing supervision and/or collaboration has person-to-person communication with Respondent at least twice during each shift worked.
- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by Respondent with or without Respondent present.
- 9. **Employment Limitations.** Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been

approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses. The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at her own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of her probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s). Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. Cost Recovery. Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$2,000.00. Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in

order to comply with this condition. During the one year extension, all original conditions of probation will apply.

12. Violation of Probation. If Respondent violates the conditions of her probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be extended and shall not expire until the accusation or petition has been acted upon by the Board.

13. License Surrender. During Respondent's term of probation, if she ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender her license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing. Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

- (1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness; or
 - (2) One year for a license surrendered for a mental or physical illness.
- 14. Therapy or Counseling Program. Respondent, at her expense, shall participate in an on-going counseling program until such time as the Board releases her from this requirement and only upon the recommendation of the counselor. Written progress reports from the counselor will be required at various intervals.

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•	ACCEPTANCE		
, .	I have carefully read the above Stipulated Settlement and Disciplinary Order and		
3	have fully discussed it with my attorney, Edgardo Gonzalez. I understand the stipulation and the		
. 4	effect it will have on my License. I enter into this Stipulated Settlement and Disciplinary Order		
5	voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the		
6	Board:		
. 7.	DATED: 8 16/07 Workey		
	SANDRA ANNE FARLEY Respondent		
9	I have read and fully discussed with Respondent Sandra Anne Farley the terms		
10	and conditions and other matters contained in the above Stipulated Settlement and Disciplinary		
11	Order. I approve its form and content:		
12	DATED: 8/16/07		
13	Experied >		
14	EDGARDO GONZALEZ Attorney for Respondent		
15	ENDORSEMENT		
16	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
17	submitted for consideration by the Board.		
18	DATED: 8/20/07		
19	EDMUND G. BROWN JR., Attorney General		
20	of the State of California		
21			
22	GEOFFREY 8. ALLEN		
23	Deputy Attorney General		
24	Attorbeys for Complainant		
25	DOJ Matter ID: \$A2005103587		
26	10359824.wpd		
27			
#	"我们的,我们就是一个大大的,我们就是我的,我就是我们的,我们就是这个人,我们就没有一个人,我们就会会看到这个人。""我们就是这个人,我们就是这个人,我们就是这		

Exhibit A
Accusation No. 2007-116

1 BILL LOCKYER, Attorney General of the State of California GEOFFREY ALLEN, State Bar No. 193338 Deputy Attorney General 3 California Department of Justice 1300 I Street, Suite 125 4 P.O. Box 944255 Sacramento, CA 94244-2550 5 Telephone: (916) 324-5341 Facsimile: (916) 327-8643 6 Attorneys for Complainant 7 8 BEFORE THE 9 **BOARD OF REGISTERED NURSING** DEPARTMENT OF CONSUMER AFFAIRS 10 STATE OF CALIFORNIA 11 Case No. 2007-116 12 In the Matter of the Accusation Against: 13 SANDRA ANNE FARLEY A.K.A. SANDRA ANNE DE SIMONE A.K.A. ACCUSATION 14 SANDRA ANNE DE SIMONE-SMITH P.O. Box 1690 15 Penn Valley, California 95946 16 Registered Nurse License No. 575651 17 Respondent. 18 19 Ruth Ann Terry, M.P.H., R.N. ("Complainant") alleges: 20 **PARTIES** 21 1. Complainant brings this Accusation solely in her official capacity as the Executive Officer of the Board of Registered Nursing ("Board"), Department of Consumer 22 23 Affairs. 24 2. On or about January 5, 2001, the Board issued Registered Nurse License 25 Number 575651 ("license") to Sandra Anne De Simone-Smith ("Respondent"). The license will 26 expire on September 30, 2008, unless renewed. 27 ///

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JURISDICTION

- 3. Business and Professions Code ("Code") section 2750 provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
- 4. Code section 2764 provides, in pertinent part, that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary proceeding against the licensee or to render a decision imposing discipline on the license. Under Code section 2811(b), the Board may renew an expired license at any time within eight years after the expiration.

STATUTORY AND REGULATORY PROVISIONS

- 5. Code section 2761(a) states, in pertinent part, that the Board may take disciplinary action against a licensed nurse for unprofessional conduct.
 - 6. Code section 2762 states:

In addition to other acts constituting unprofessional conduct within the meaning of this chapter [the Nursing Practice Act], it is unprofessional conduct for a person licensed under this chapter to do any of the following:

- (a) Obtain or possess in violation of law, or prescribe, or except as directed by a licensed physician and surgeon, dentist, or podiatrist administer to himself or herself, or furnish or administer to another, any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code or any dangerous drug or dangerous device as defined in Section 4022.
- (e) Falsify, or make grossly incorrect, grossly inconsistent, or unintelligible entries in any hospital, patient, or other record pertaining to the substances described in subdivision (a) of this section.
- 7. California Code of Regulations, title 16, section 1443, states, "[a]s used in Section 2761 of the code, 'incompetence' means the lack of possession of or the failure to exercise that degree of learning, skill, care and experience ordinarily possessed and exercised by a competent registered nurse as described in Section 1443.5."

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FIRST CAUSE FOR DISCIPLINE

(Falsified, Made Incorrect or Inconsistent Entries In Hospital or Patient Records)

12. Respondent is subject to discipline under Code section 2761(a), on the grounds of unprofessional conduct as defined in Code section 2762(e), in that while employed as a registered nurse at Sierra Nevada Memorial Hospital, Grass Valley, California, Respondent falsified, made grossly incorrect, grossly inconsistent or unintelligible entries in hospital or patient records in the following respects:

APRIL 1, 2002, THROUGH MAY 27, 2002

Patient 255049:

- a. On or about April 1, 2002, at 2003 hours, Respondent signed out 1 mg. Lorazepam for administration, but failed to chart the administration of the medication or otherwise account for the disposition of the medication.
- b. On or about April 2, 2002, at 0144 hours, Respondent signed out 1 mg.

 Lorazepam for administration. Respondent charted the administration of this medication at 0100 hours, which is 44 minutes prior to signing out the medication.
- c. On or about April 2, 2002, at 0528 hours, Respondent signed out 1 mg. Lorazepam for administration, but failed to chart the administration of the medication or otherwise account for the disposition of the medication.
- d. On or about April 3, 2002, at 2245 hours, Respondent signed out 4 mg. Morphine. Respondent charted the administration of 1 mg. at 2230 hours which is 15 minutes prior to signing out the medication. Respondent also failed to account for the disposition of the remaining 3 mg. of medication in any hospital or patient record.
- e. On or about April 4, 2002, at 0630 hours, Respondent signed out 1 mg.

 Lorazepam for administration. Respondent charted the administration of this medication at 0615 hours, which is 15 minutes prior to the time Respondent signed out the medication.
- f. On or about April 4, 2002, at 2050 hours, Respondent signed out 4 mg. Morphine and charted the administration of 1 mg at 2030 hours, which is 20 minutes prior to signing out the medication. Respondent also failed to account for the disposition of the

remaining 3 mg. of medication in any hospital or patient record.

- g. On or about April 4, 2002, at 2313 hours, Respondent signed out 4 mg. Morphine and charted the administration of 1 mg. at 2330 hours, but failed to account for the disposition of the remaining 3 mg. of medication in any hospital or patient record.
- h. On or about April 5, 2002, at 0436 hours, Respondent signed out 1 mg.

 Lorazepam, but failed to chart the administration of the medication or otherwise account for the disposition of the medication in any hospital or patient record.
- i. On or about April 5, 2002, at 2300 hours, Respondent charted that she administered 4 mg. of Morphine; however, there was only a record of the withdrawal of 2 mg. of this medication at 2239 hours.
- j. On or about April 6, 2002, at 0011 hours, Respondent signed out 4 mg.

 Morphine for administration. Respondent charted the administration of this medication at 0100 hours, which is 49 minutes after Respondent signed out the medication.
- k. On or about April 6, 2002, at 0209 hours, Respondent signed out 4 mg. of Morphine, but failed to chart the administration of the medication or otherwise account for the disposition of the medication in any hospital or patient record.
- 1. On or about April 6, 2002, at 0401 hours, Respondent signed out 4 mg. of Morphine, but failed to chart the administration of the medication or otherwise account for the disposition of the medication in any hospital or patient record.

Patient 102278

- m. On or about April 11, 2002, at 2356 hours, Respondent signed out 1 mg. Lorazepam, but failed to chart the administration of the medication or otherwise account for the disposition of the medication in any hospital or patient record.
- n. On or about April 15, 2002, at 0623 hours, Respondent signed out 4 mg. Morphine for administration. Respondent charted the administration of this medication at 0600 hours, which is 23 minutes prior to the time Respondent signed out the medication.
- o. On or about April 15, 2002, at 2032 hours, Respondent signed out 1 mg.

 Lorazepam for administration. Respondent charted the administration of this medication at 2000

hours, which is 32 minutes prior to the time Respondent signed out the medication.

Patient 256949:

p. On or about May 22, 2002, at 0630 hours, Respondent signed out 2 mg.

Morphine for administration. Respondent charted the administration of this medication at 0600 hours, which is 30 minutes prior to the time Respondent signed out the medication.

Patient 192453:

- q. On or about May 23, 2002, at 2337 hours, Respondent signed out 0.5 mg.

 Lorazepam for administration. Respondent charted the administration of this medication at 2300 hours, which is 37 minutes prior to the time Respondent signed out the medication.
- r. On or about May 27, 2002, at 0016 hours, Respondent signed out 2 mg. Morphine, but failed to chart the administration of medication or otherwise account for the disposition of the medication in any hospital or patient record.

JULY 28, 2004

Patient "E.C.":

s. On or about July 28, 2004, Respondent withdrew and administered 20 units of insulin to patient E.C., but charted that she only administered 10 units of insulin on the patient's medication administration record. The physician's order called for the administration of 10 units of insulin.

SECOND CAUSE FOR DISCIPLINE

(Incompetence)

- 13. Respondent is subject to discipline under Code section 2761(a), on the grounds of unprofessional conduct as defined in Code section 2761(a)(1), in that while employed as a registered nurse at Sierra Nevada Memorial Hospital, Grass Valley, California, Respondent was incompetent in the following respects:
- a. Between April 1, 2002, and May 27, 2002, Respondent knowingly failed to follow basic hospital policy for medication dispensing, administering and documenting controlled substances, as more particularly set forth above in paragraphs 12.a 12.r.

	<u> </u>	
1	b. On or about July 28, 2004, Respondent documented that she administered	
2	10 units of insulin to patient E.C., when in fact, she administered 20 units of insulin to patient	
3	E.C. The administration of 20 units of insulin is inconsistent with the physician's order, which	
4	called for the administration of only 10 units of insulin to patient E.C., as more particularly set	
5	forth above in paragraph 12.s.	
6	THIRD CAUSE FOR DISCIPLINE	
7	(Unprofessional Conduct)	
8	14. Respondent is subject to discipline under Code section 2761(a) in that	
9	while employed as a registered nurse at Sierra Nevada Hospital, Grass Valley, California,	
10	Respondent engaged in unprofessional conduct, as more particularly set forth above in	
11	paragraphs 12 and 13.	
12	PRAYER	
13	WHEREFORE, Complainant requests that a hearing be held on the matters	
14	herein alleged, and that following the hearing, the Board of Registered Nursing issue a decision:	
15	1. Revoking or suspending Registered Nurse License Number 575651, issued	
16	to Sandra Anne De Simone-Smith;	
17	2. Ordering Sandra Anne De Simone-Smith to pay the Board of Registered	
18	Nursing the reasonable costs of the investigation and enforcement of this case, pursuant to Code	
19	section 125.3; and,	
20	3. Taking such other and further action as deemed necessary and proper.	
21	DATED: 10/26/06	
22	Mit Halle B.	
23	RUTH ANN TERRY, M.P.H., R.N.	
24	Executive Officer Board of Registered Nursing	
25	Department of Consumer Affairs State of California	
26	Complainant	
27	SA2005103587 Accusation 9/14/06	
28		